

2013 Annual Action Plan

Update to the City of Auburn's Consolidated Plan for
Housing and Community Development
Fiscal Years 2011 – 2014

November 15, 2012

(IMPORTANT NOTE: Program description and dollar amounts represented in this plan may change subject to the availability of funds and final approval by the Department of Housing and Urban Development.)



Department of Administration & Community Services
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2013 Annual Action Plan

EXECUTIVE SUMMARY

Each year the City of Auburn executes specific actions to implement the goals and strategies of the Consolidated Plan for Years 2011 to 2014. Actions that will be undertaken in 2013 are outlined in this "Annual Action Plan".

The City of Auburn anticipates the receipt of approximately \$455,000 in Community Development Block Grant (CDBG) funds in 2013. Auburn's CDBG funds will be combined with approximately \$550,000 of General Funds to create a total Human Services budget of approximately \$1,005,000 in 2013. Human Services is responsible for providing and managing financial resources to more than 30 non-profit agencies that serve the Auburn community, administering the local housing repair program, and developing collaborations among community partners to strengthen the response to residents in need.

The 2013 Action Plan proposes to allocate approximately \$70,000 of CDBG funds to public services. Most of those funds (\$57,500) will be used to provide dental and medical care to uninsured, low income Auburn residents and \$10,000 for employment training.

Approximately \$90,000 of the CDBG funds will be allocated to program administration, which includes planning. The remaining \$290,000 will be allocated to housing repair (\$100,000), economic development (\$37,500), and support of enhancing youth outreach and gang prevention.

The City of Auburn is committed to focusing more on achieving solutions to recurring social problems and achieve a greater collaboration among service providers. The Department of Housing and Urban Development has worked to standardize the performance measurements listed in the Consolidated Plan. The 2013 Action Plan combines the changes that both the City of Auburn and HUD have implemented.

Overall the implementation of the 2012 Action Plan is progressing as planned and most of the activities listed are underway. A more detailed report of 2012 activities will be summarized in the Consolidated Annual Performance and Evaluation Report (CAPER) that will be drafted and released for public review before the end of the first quarter of 2013.

CITIZEN PARTICIPATION AND CONSULTATION

The development of the Annual Action Plan involves both citizen participation and consultation with local service providers. Citizen participation in the planning process began at a joint meeting between the Auburn City Council and Human Services Committee. At this meeting, Council and Committee members identified a series of community results that they would like to achieve through the appropriation of City funds.

The City consults with local service providers primarily through the solicitation of applications for Human Services grants. Grant applications prepared by service providers furnishes the City information regarding the goals of the agency, target populations, proposed services, gaps in the delivery of services, linkages to poverty reduction strategies and collaboration with other service providers. This information is reviewed by City staff and the Human Services Committee.

The Human Services Committee's recommendations were incorporated into the 2013 Action Plan and presented to the City Council's Planning and Community Development (PCD) Committee in

September 2012. A preliminary draft of the 2013 Action Plan was distributed to all the grant applicants and local service providers. A public notice was issued and posted at City Hall, the Auburn Senior Center, and on the City of Auburn's website on October 1, 2012; this information was also published in The Seattle Times announcing that copies of the proposed 2013 Action Plan were available for public review. The City Council will schedule a public hearing to gather testimony about the plan before it takes action to review and adopt it. The following outlines the remaining steps regarding citizen participation and consultation for the 2013 Action Plan:

- October 1, 2012: Start of Public Review Process
- October 15, 2012: Public Hearing
- End of Public Review Process
- November 5, 2012: Council takes action to adopt the 2013 Annual Action Plan
- November 15, 2012: Deadline to submit the plan to HUD

HOUSING AND COMMUNITY DEVELOPMENT RESOURCES

2013 CDBG ESTIMATED RESOURCES

In 2012 the City of Auburn received over \$460,000 of CDBG funds. In 2013 the City estimates that its CDBG funds will increase slightly from last year's CDBG entitlement. The 2013 Action Plan includes a contingency plan in the event that CDBG funds increase or decrease.

CDBG ESTIMATED RESOURCES

\$455,000	CDBG 2013 Entitlement (estimated)
\$455,000	Total Estimated CDBG Funds Available in 2013

OTHER RESOURCES

General Funds

The City of Auburn allocates up to one percent (1%) of its general fund expenditures to provide human services for Auburn residents through contracts with non-profit agencies. Assuming the City will continue to receive the same amount of general fund revenues, the estimated allocation from General Fund budget for human service grants is \$550,000 in 2013.

TOTAL RESOURCES

Funding Source	2013
-- CDBG Funds	\$ 455,000
-- General Funds	\$ 550,000
Total Estimated Funds Available	\$ 1,005,000

CITY OF AUBURN FUNDING PRIORITIES

The expressed goal of the City's Consolidated Plan is to reduce the number of people living in poverty within the City of Auburn. Toward this end, the City will give funding priority to programs that - in addition to complying with federal regulations and addressing a priority outlined in the Consolidated Plan - are consistent with the following anti-poverty strategy for resource allocation.

1. Each project must satisfy one of the three following overriding goals:
 - Help people move out of poverty;
 - Prevent people from entering poverty;
 - Address the basic needs of people living in poverty.
2. In making funding decisions, the City will give priority to programs that:
 - Support, complement or are consistent with other current City plans;
 - Are sustainable over time;
 - Have demonstrated cooperation and collaboration among government, private nonprofit agencies and the private sector to maximize impacts and reduce administrative costs;
 - Do not have a more appropriate source of funds.
3. The City will give priority to programs that provide services addressing the basic needs of Auburn's most at-risk populations. A priority population for CDBG-funded services is individuals who are denied, by poverty and historical institutional practices, the opportunity to develop their full potential and to enjoy the benefits of community participation.
4. CDBG funded services must be, to the fullest extent possible, appropriate and accessible to people who may face special barriers in accessing services.

5. The CDBG program was built on a premise of citizen participation in the formation of policies, including funding decisions, which address neighborhood and community needs. The City will give priority to programs that promote community initiatives to identify priority needs and to address those needs.
6. The City will give priority to programs that build and support the capacity of local organizations to address the needs of Auburn residents.
7. The City will give priority to programs that support economic development and promote access to quality jobs - positions that pay well enough to support an adequate standard of living, allow the purchase of housing and other basic necessities, offer stability and decent working conditions, and provide opportunities for advancement.
8. Funding priority will be given to agencies that provide direct services to Auburn residents at locations within the city limits of Auburn.

COMMUNITY RESULTS DESIRED BY THE CITY OF AUBURN

The City of Auburn solicited proposals from local service providers to achieve the following community results within the areas listed below. Population performance indicators will be applied to assess the overall success of the effort and the value of ongoing support; these indicators will be utilized to determine the effectiveness of individual programs.

Overall Result: One-Stop Center

The City of Auburn will fund human service providers who participate in the design, development and operation of a One-Stop Center in Auburn and the efficient, collaborative and integrated delivery of services it offers. Agencies who agree to participate in the design and development of a One-Stop Center in Auburn may apply for funding to accomplish one or more of the following community results:

- **Abused and Neglected Children:** The City of Auburn will fund human service providers that increase supportive services to children who are neglected and abused, specifically the number of children who are victims of on-going and repeated neglect and abuse, within the city of Auburn by 15% over the next three (3) years.
- **Victims of Domestic and Sexual Assault:** The City of Auburn will fund human service providers that increase the number of Auburn residents, who are victims of domestic violence, that make the transition to a safe environment and self-determining lifestyle by 15% within the next three (3) years.
- **Poverty Reduction:** The City of Auburn will fund human service providers who increase the number of Auburn residents no longer living in poverty by 15% within the next three (3) years.
- **Substance Abuse:** The City of Auburn will fund human service providers to develop strategies that increase the successful completion of treatment programs by Auburn residents who have serious behavioral and health problems due to substance abuse and chemical dependency by 15% within a three (3) year period.
- **Physically and Mentally Fit:** The City of Auburn will fund human service providers that increase the availability, accessibility and use of health care to its low income residents by 15% within a three (3) year period.

2013 PROPOSED ACTIVITIES TO ACHIEVE RESULTS

The City of Auburn requested proposals to achieve the following national objectives and community results.

Create a Suitable Living Environment:

- One-Stop Center:
 - Create a suitable living environment for Auburn's low income residents and people with special needs by using its CDBG funds to make available float loans and Section 108 Loan Guarantees to leverage the funds necessary to develop a Community Center and future campus for the One-Stop Center which will provide for the collocation and integrated delivery of human services.
- Abused and Neglected Children:
 - The City of Auburn proposes to allocate approximately \$180,000 of its General Funds, to generate approximately 7,700 hours of services and 160 home visits that will benefit 1,657 Auburn adults and children, for the purpose of reducing chronic abuse and neglect of children.
- Victims of Domestic and Sexual Assault:
 - The City of Auburn proposes to allocate \$89,000 of its General Funds to provide 1,500 referrals for assistance, 160 shelter bednights, 700 hours of case management and 550 hours of therapy to approximately 600 victims of domestic violence and sexual assault.
- Physically and Mentally Fit:
 - Create a suitable living environment by making health care available to 78 or more low-income, uninsured Auburn residents by allocating \$40,000 of CDBG funds to HealthPoint of King County for the operation of its clinic in Auburn.
 - Create a suitable living environment by making dental care available to 70 or more low-income, uninsured Auburn residents by allocating \$17,500 of CDBG funds to HealthPoint of King County for the operation of its clinic in Auburn.
 - The City proposes to allocate an additional \$45,000 of its General Funds for the purpose of creating access to health care to over 1,000 low-income Auburn residents and enabling over 3,200 visits to qualified health care providers.
- Poverty Reduction:
 - The City of Auburn proposes to allocate \$80,500 of its General Funds to provide nearly 12,000 Auburn residents emergency assistance such as food, financial assistance, and clothing.
 - The City of Auburn proposes to allocate \$14,500 of its General Funds to provide over 60 Auburn senior citizens congregate meals and home-delivered meals and over 1,200 Auburn residents a weekly meal.
 - The City of Auburn proposes to allocate \$51,000 of its General Funds to provide over 100 Auburn residents more than 3,900 bednights of emergency shelter and/or transitional housing.

- Substance Abuse:

- The City of Auburn proposes to allocate \$10,000 of its General Funds to support a program that provides integrated care to non-Medicaid clients who require both substance abuse treatment and mental health counseling.

Provide Decent Housing:

- Maintain the affordability of decent housing for very low-income Auburn residents by allocating \$100,000 of CDBG funds to the City of Auburn's Housing Repair Program for the purpose of providing repairs necessary to maintain suitable housing for 30 Auburn homeowners, including 15 homeowners who are senior citizens and 5 homeowners who lack safe access in or out of their homes due to physical disabilities or impairment.

Expand Economic Opportunities:

- Create economic opportunities by providing the availability and access to counseling and training for approximately 25 Auburn residents who are either trying to sustain or create a small business and, collectively generate 25 or more jobs by allocating \$37,500 of CDBG funds to the Green River Community College's Small Business Assistance Center.
- Create economic opportunities by providing the availability and access to train approximately 15 very-low income Auburn residents so that at least half of them can find and sustain a job by allocating \$10,000 of CDBG funds to the Multi-Service Center Employment Program.

Human Services (General Fund)

Auburn Human Service Agencies:	2013
... ACAP: Childcare Subsidies	20,000
... Auburn Youth Resources	85,000
... Birth to Three Development Center	10,000
... CHS: Family Resource Center	20,000
... CHS: Strengthening Families Program	10,000
... Dynamic Partners: Children's Therapy Center	10,000
... Communities In Schools	5,000
... Neighborhood House: Employment & Family Self Sufficiency	5,000
... Pediatric Interim Care Center	10,000
... Safe Havens Visitation Center	5,000
subtotal:	180,000
... Consejo	10,000
... Crisis Clinic: 2-1-1 Line, 24 Hr., Teen Link	3,000
... DAWN	9,000
... KCSARC	22,500
... Jubilee Center: Latino Program	7,500
... VCCC: DV Survivor Support/Therapy	12,000
... YWCA: Children DV Services	5,000
... YWCA: DV Services	20,000
subtotal:	89,000
... Auburn Respite	10,000
... Christ Community Free Clinic	20,000
... Senior Services Vol. Transportation	5,000
... SKC: Mobile Medical Program	10,000
... VCCC: COD Treatment	10,000
subtotal:	55,000

Human Services (General Fund)
(Continued)

Auburn Human Service Agencies:	2013
... AFB: Emergency Food	35,000
... AFB: Community Suppers	5,000
... Auburn Senior Center: Meals Program	4,500
... CCS: CHORE Program	3,000
... CCS: HOME/ARISE	15,000
... CCS: Katherine's House & Rita's House	5,000
... Senior Services: Meals on Wheels	7,000
... Multi-Service Ctr.: Emergency & Transitional Housing	8,000
... Pregnancy Aid	5,000
... Sound Mental Health: PATH	5,000
... St. Vincent de Paul: Emergency Assist.	10,000
... South King Council of Human Services	5,000
... Ukrainian Community Center: Crisis Intervention	5,000
... Ukrainian Community Center: Refugee Assistance	7,500
... WWEE: REACH	8,000
... YWCA: Emergency Housing	4,000
... YWCA: Transitional Housing	14,000
<i>subtotal:</i>	146,000
Total General Fund Human Services	\$470,000

Contingency Plans for Changes in Funding

Total appropriations may not exceed actual revenues and no liability is to be incurred in excess of appropriations. In the event that actual revenues are different than the revenues estimated by the CDBG budget, the following actions shall be taken:

- (a) CDBG Public Service Programs: In the event the City receives a lesser amount of CDBG funding than budgeted for public services, then the reduction will be made as follows:
1. Reduce or eliminate the appropriation to the Multi-Service Center's Employment Program by \$7,500 or the amount of the funding reduction, which ever amount is less.
 2. Reduce the appropriation to HealthPoint by \$52,500 or the remaining balance of the reduction, which ever amount is less.

- (b) CDBG Capital-Funds Programs: In the event of a reduction in CDBG capital funds, the amount of reduction will be prorated evenly among the funded project.
- (c) Unspent CDBG Capital Grants: The funds appropriated to subrecipients for capital improvements must be expended within the term of the subrecipient's CDBG grant agreement. CDBG funds appropriated for capital improvements will be considered abandoned, and the funds will be re-appropriated, if any of the following conditions exist:
 - (a) The term of the grant agreement expires and the subrecipient fails to request an extension in accordance with the terms of the agreement.
 - (b) The subrecipient fails to submit a request for reimbursement or fails to submit an activity report during the program year.
 - (c) The subrecipient fails to make the project "bid ready" within the first year after the appropriation. "Bid ready" means that, at a minimum, the bid specifications are drafted and the subrecipient is ready to solicit bids.
- (d) General Fund Public Services:
 - 1. Reduction of General Fund Revenues: In the event of a reduction in the general fund appropriation for human services, the reductions will be applied in the following order:
 - (a) Communities In Schools: the lesser of \$5,000 or the amount of the reduction.
 - (b) St. Matthew's Jubilee Center's Latino Program: the lesser of \$7,500 or the remaining amount of the reduction.
 - (c) CHORE Program: the lesser of \$3,000 or the remaining amount of the reduction.
 - (d) If the above reductions remain less than the total amount of the general fund reduction, then the remaining amount of the reduction will be applied evenly by a prorated amount among the remaining appropriations.
 - 2. Increase in Funding: In the event of an increase in funding, then previous reductions in appropriated amounts, if any, will be restored to the amounts recommended for 2013 in the reverse order in which the above reductions were made. If funds remain after all reductions have been restored, then the remaining funds will be distributed according to the allocation recommended by the Planning and Community Development Committee and approved by the City Council.

FAIR HOUSING

The City of Auburn continues to monitor compliance with the Fair Housing Act. Complaints are referred to the Washington State Human Rights Commission for resolution. In 2006, the City completed an Analysis of Impediments to Fair Housing Choice (AI) as required for CDBG entitlement communities. The Fair Housing Consultant identified three impediments and made three recommendations. The recommendations were:

- Expand current education and outreach efforts;
- Continue ongoing enforcement activities; and
- Target homeownership and lending marketing to minority households.

The City has implemented and continues to expand these recommendations. Information about Fair Housing has been put on the City of Auburn's website and made available at City Hall. The information will include links pertaining to where residents can file a complaint in the event of alleged discrimination. Information will be available in English and Spanish. Summary data regarding the number of fair housing complaints filed against landlords and realtors in Auburn will be reported in the CAPER.

PUBLIC HOUSING

The City of Auburn continues to support public housing communities located within its city limits. Many of the residents who receive the services provided by the City's human service grants live in public housing communities. Two grant recipients are located within public housing communities. In addition, the City of Auburn's Housing Repair program serves approximately 10 – 12 residents per year who live at Tall Cedars Mobile Home Park, which is owned by the King County Housing Authority. Home repairs include furnaces, hot water tanks, wheelchair ramps and other repairs necessary to keep the home habitable. The following summarizes the grants provided to specific public housing communities:

Agency/Program	Public Housing Community	Amount
Auburn Food Bank	Burndale	\$40,000
Housing Repairs	Tall Cedars Mobile Home Park	\$50,000
Total: Public Housing Community Programs		\$90,000

CHRONIC HOMELESSNESS

The City of Auburn will continue to provide funding to regional and local agencies that provide assistance to homeless families. In 2013 the City will strive to maintain a continuum of care for homeless families that includes emergency assistance, emergency shelters, severe weather shelter and transitional housing. In addition, the City provides funds for the provision of medical care, childcare and employment training to help prevent people from becoming homeless. The City of Auburn plans to allocate \$55,000 of its General Funds to provide more than 4,000 bednights of emergency shelter and/or transitional housing to approximately 120 Auburn residents. The following summarizes the grants awarded with the intent to reduce chronic homelessness:

Agency / Program	Award	Residents	Services Provided
Catholic Community Services Katherine's House & Rita's House	\$5,000	3	transitional housing
DAWN Confidential Shelter	\$9,000	15	160 bednights
Multi-Service Center	\$8,000	29	870 bednights
HOME/ARISE Emergency Shelter	\$15,000	30	1,650 bednights
YWCA Emergency & Transitional Housing	\$18,000	46	1,400 bednights
Total:	\$55,000	123	4,080 bednights

GEOGRAPHIC DISTRIBUTION

CDBG funds spent on neighborhood revitalization and housing repairs for targeted neighborhoods will occur primarily within the Census Tract 306 and Census Tract 307. According to the Census Bureau, these two census tracts are predominantly low income with median household incomes less than 80% of the King County median household income. Public services funded with CDBG funds and housing repairs in non-targeted neighborhoods will be offered to low income or limited clientele residents citywide.

LEAD-BASED PAINT HAZARDS

The City of Auburn will continue to implement U.S. Department of Housing and Urban Development (HUD) regulations to protect young children from lead-based paint hazards in housing that is financially assisted by the federal government. The requirements apply only to housing built before 1978; the year lead-based paint was banned nationwide for consumer use. The following table summarizes actions required by these regulations.

Amount of Grant	<\$5,000	\$5,000-\$25,000	> \$25,000
General Approach	Do No Harm	Identify and control lead hazards	Identify and abate lead hazards
Notification	Pamphlet	Pamphlet & Notice of Assessment	Pamphlet & Notice of Hazard Reduction
Evaluation	Visual	Paint Testing	Risk Assessment
Reduction Strategy	Repair surfaces disturbed during rehab work	Interim Controls	Abatement and Interim Controls

BARRIERS TO AFFORDABLE HOUSING

The City of Auburn will continue to look at policies that remove barriers to affordable housing. The City of Auburn's Comprehensive Land Use includes several policies and objectives that will guide the City toward achieving its affordable and fair housing goals. These policies include maintaining flexibility in land use to achieve a balanced mix of affordable housing opportunities. The City will continue to pursue mixed use developments that are consistent with the

transportation oriented developments located in Auburn's downtown. The City will look for opportunities with public and private agencies to implement policies and offer programs that help alleviate physical and economic distress, conserve energy resources, improve the quality and quantity of community services, and eliminate conditions that are detrimental to health, safety and public welfare.

LOW-MODERATE INCOME BENEFITS

Over the past three years approximately 90% of the clients served by programs funded with CDBG funds earned low to moderate incomes. The beneficiaries of programs funded in 2013 with CDBG funds are not expected to deviate from these past trends. The City of Auburn will continue to distribute its funds for human services based on priority needs identified for people with low-to-moderate incomes with a particular emphasis on people who live in poverty.

POLICIES AND CERTIFICATIONS

Agencies applying for CDBG funds are required to respond to general and program specific policies in their applications. All projects are evaluated to determine if they are:

1. Eligible relative to federal guidelines;
2. Consistent with the program objectives and strategies;
3. Consistent with local, state and federal regulations;
4. Feasible within contract and timeline guidelines; and
5. Capable of developing and measuring outcomes.

These policies include, among others:

- Consistency with local codes and policies;
- Restrictions on the change of use of property and buildings which were acquired or improved with CDBG funds;
- Minimization of displacement and the provision of relocation assistance;
- Adherence to federal wage rates;
- Compliance with federal audit requirements; and
- Adherence to and enforcement of lead-based paint abatement regulations, fair housing laws and affirmative action.

DOCUMENTATION AND ON-SITE MONITORING

Detailed records are maintained and reviewed to determine and assure agency compliance with its contract and other applicable regulations. Deficiencies in record keeping are documented and technical assistance to correct noted deficiencies is provided. The failure to comply with contractual requirements and regulations could result in remedial actions and/or the termination of funding.

FISCAL AUDITS

The City conducts an internal audit to ensure that its records are complete and agencies are complying with applicable rules and regulations. In addition, the City's records are subject to an independent annual audit by the State Auditor. Both the City's internal audit and the State Auditor test to determine the City's compliance with the following CDBG requirements:

- Political Activity;
- Davis-Bacon and Related Acts;
- Civil rights;
- Cash Management;
- Federal Financial Reports;
- Allowable Costs & Cost Principles;
- Drug-Free Workplace Act; and
- Various administrative requirements.

MORE INFORMATION

For more information and/or to get on the City's mailing list for Consolidated Plan updates and grant applications, please contact:

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ATTACHMENTS:

1. 2013 Community Development Block Grant Projects
2. Notice of Public Comment Period
3. Comments from the Public Hearing on October 15, 2012
4. Written comments provided during the Public Review period
5. Resolution adopted by the Auburn City Council
6. Certifications

Attachment #1

Community Development Block Grant Projects

**TABLE 3A
SUMMARY/STATEMENT OF SPECIFIC ANNUAL OBJECTIVES**

ACCESSIBILITY TO SUITABLE LIVING ENVIRONMENT							
Obj.#	Outcome/Objective	Source of Funds	Performance Indicator	Year	Expected #	Actual #	Percent Completed
BG1301	Create a suitable living by making the availability of health care available to low-income, uninsured Auburn residents.	CDBG	Number of persons receiving medical service	2013	148		
BG1304	Create a suitable living environment by making available a skilled nursing facility to serve low-income Auburn residents.	CDBG	Number of persons receiving assistance.	2013	25		

ACCESSIBILITY TO ECONOMIC OPPORTUNITIES							
Obj.#	Outcome/Objective	Source of Funds	Performance Indicator	Year	Expected #	Actual #	Percent Completed
BG1302	Create economic opportunities by providing training to very-low income Auburn residents.	CDBG	Number of persons in job-training program	2013	12		
BG1302	Create economic opportunities by providing training to very-low income Auburn residents.	CDBG	Number of participants who maintain employment for six months or longer.	2013	5		
BG1305	Create economic opportunities by providing business training, classes and counseling to residents who are trying to sustain or create a small business.	CDBG	Number of persons assisted with business counseling	2013	25		
BG1306	Create economic opportunities by making available matching funds for façade improvements to Auburn small businesses in the downtown urban renewal area.	CDBG	Number of businesses assisted	2013	3		

SUSTAINABILITY OF DECENT HOUSING							
Obj.#	Outcome/Objective	Source of Funds	Performance Indicator	Year	Expected #	Actual #	Percent Completed
BG1303	Maintain the affordability of decent housing for very low-income Auburn residents by providing repairs necessary to maintain suitable housing.	CDBG	Number of households receiving home repair assistance	2013	30		

**TABLE 3B
ANNUAL HOUSING COMPLETION GOALS**

	Annual Expected Number Completed	Resources Used During the Period			
		CDBG	HOME	ESG	HOPWA
ANNUAL AFFORDABLE HOUSING GOALS (Sec 215)					
Homeless households		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE RENTAL HOUSING GOALS (Sec 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistancess		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Section 215 Affordable Rental		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE OWNER HOUSING GOALS (Sec 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	30	X	<input type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Section 215 Affordable Owner		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (Sec 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Homebuyer Assistance		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Section 215 Affordable Housing		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL HOUSING GOALS					
Annual Rental Housing		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Annual Housing Goal	30	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Table 3C
List of CDBG Projects

Local ID: BG1301

Applicant's Name: Community Health Centers of King County

Priority Need: HIGH

Project Title: Primary Medical / Dental Care

Project Description: Create a suitable living environment by making the availability of health care available to 148 or more low-income, uninsured Auburn residents by allocating \$57,500 of CDBG funds to the Community Health Centers of King County for the operation of its clinic in Auburn.

Location: 126 Auburn Avenue, Auburn, Washington

Project Information	
Project ID	TBD
Objective Number	01: People
HUD Matrix Code	05M
CDBG Citation	570.201(e)
Type of Recipient	LMC
National Objective	570.208(a)(2)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Clients
Annual Units	148

Funding	
CDBG	\$57,500
ESG	
HOME	
HOPWA	
subtotal Formula	\$57,500
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$57,500

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1302

Applicant's Name: South King County Multi-Service Center

Priority Need: HIGH

Project Title: Employment Training Program

Project Description: Create economic opportunities by providing training to approximately 15 very-low income Auburn residents so that at least half of them can find and sustain a job.

Location: 1200 S 336th Street, Federal Way, Washington

Project Information	
Project ID	TBD
Objective Number	01: People
HUD Matrix Code	05H
CDBG Citation	570.201(e)
Type of Recipient	LMC
National Objective	570.208(a)(2)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Clients
Annual Units	15

Funding	
CDBG	\$10,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$10,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$10,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1303

Applicant's Name: City of Auburn Dept. of Administration

Priority Need: HIGH

Project Title: Housing Repair Program

Project Description: Maintain the affordability of decent housing for very low-income Auburn residents by providing repairs necessary to maintain suitable housing for 30 Auburn homeowners, including 15 home-owners who are senior citizens and 5 homeowners who lack safe access in or out of their homes due to physical disabilities or impairment.

Location: Citywide

Project Information	
Project ID	TBD
Objective Number	10: Housing Units
HUD Matrix Code	14A
CDBG Citation	570.202
Type of Recipient	LMH
National Objective	570.208(a)(3)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Housing Units
Annual Units	30

Funding	
CDBG	\$100,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$100,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$100,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1304

Applicant's Name: Valley Cities Counseling & Consultation

Priority Need: HIGH

Project Title: Phoenix Rising Supported Housing Project

Project Description: Create a suitable living environment by making available supported housing units for chronically homeless, low-income persons with mental health needs.

Location: TBD

Project Information	
Project ID	TBD
Objective Number	01: People
HUD Matrix Code	03C
CDBG Citation	570.201(c)
Type of Recipient	LMC
National Objective	570.208(a)(2)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Clients
Annual Units	21

Funding	
CDBG	\$50,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$50,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$50,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1305

Applicant's Name: Wesley Homes

Priority Need: HIGH

Project Title: Skilled nursing facility

Project Description: Create a suitable living environment by making available a skilled nursing facility to serve low-income Auburn residents.

Location: TBD

Project Information	
Project ID	TBD
Objective Number	01: People
HUD Matrix Code	03P
CDBG Citation	570.201(c)
Type of Recipient	LMC
National Objective	570.208(a)(2)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Clients
Annual Units	25

Funding	
CDBG	\$50,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$50,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$50,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1306

Applicant's Name: Green River Community College

Priority Need: HIGH

Project Title: Small Business Assistance Center

Project Description: Create economic opportunities by providing the availability and access to counseling and training for approximately 25 Auburn residents who are either trying to sustain or create a small business and collectively generate 10 or more jobs.

Location: 108 South Division Street, Auburn, Washington

Project Information	
Project ID	TBD
Objective Number	01: People
HUD Matrix Code	18C
CDBG Citation	570.201(o)
Type of Recipient	LMC
National Objective	570.208(a)(2)(iii)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Clients
Annual Units	25

Funding	
CDBG	\$37,500
ESG	
HOME	
HOPWA	
subtotal Formula	\$37,500
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$37,500

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1307

Applicant's Name: City of Auburn Dept. of Planning, Building and Community

Priority Need: HIGH

Project Title: Downtown Façade Improvement Program

Project Description: Create economic opportunities by making available matching funds for façade improvements to Auburn small businesses with property in the downtown urban renewal area.

Location: 25 West Main Street, Auburn, Washington

Project Information	
Project ID	TBD
Objective Number	08: Businesses
HUD Matrix Code	14E
CDBG Citation	570.202
Type of Recipient	LMJ
National Objective	570.208(a)(3)(i)
Start Date	01/01/2013
End Date	12/31/2013
Indicators	Businesses
Annual Units	3

Funding	
CDBG	\$10,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$10,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$10,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
List of CDBG Projects**

Local ID: BG1308

Applicant's Name: City of Auburn Dept. of Administration

Priority Need: HIGH

Project Title: Program Management and Administration

Project Description: General program planning and administration.

Location: 25 West Main Street, Auburn, Washington

Project Information	
Project ID	TBD
Objective Number	
HUD Matrix Code	21A
CDBG Citation	570.206
Type of Recipient	
National Objective	
Start Date	01/01/2013
End Date	12/31/2013
Indicators	
Annual Units	

Funding	
CDBG	\$90,000
ESG	
HOME	
HOPWA	
subtotal Formula	\$90,000
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total:	\$90,000

The primary purpose of the project is to help prevent Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Attachment #2

Notice of Public Comment Period

The Seattle Times

City Of Auburn Finance Dept
City Clerk
25 W Main St

Auburn, WA 98001

Re: Advertiser Account # 107302

Ad #: 241722

Affidavit of Publication

STATE OF WASHINGTON
Counties of King and Snohomish

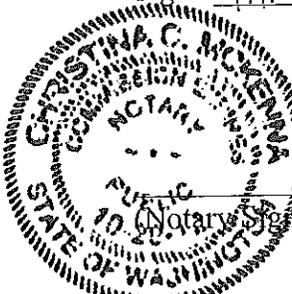
The undersigned, on oath states that he/she is an authorized representative of The Seattle Times Company, publisher of The Seattle Times of general circulation published daily in King and Snohomish Counties, State of Washington. The Seattle Times has been approved as a legal newspaper by others of the Superior Court of King and Snohomish Counties.

The notice, in the exact form annexed, was published in the regular and entire issue of said paper or papers and distributed to its subscribers during all of the said period.

Newspaper and Publication Date(s)	
Seattle Times	10/01/12

Agent MAUREEN E DUGGAN Signature Maureen E Duggan

Subscribed and sworn to before me on Oct 3 2012
DATE



Christina C. McKenna
(Notary Signature) Notary Public in and for the State of Washington, residing at Seattle
Christina C. McKenna

The Seattle Times

Re: Advertiser Account # 107302

Ad #: 241722

AD TEXT

Notice Of Public Hearing and of 30-Day Comment Period Proposed 2013 Community Development Block Grant Annual Action Plan

NOTICE IS HEREBY GIVEN that the Auburn City Council will hold a public hearing at 7:30 p.m. on October 15, 2012, in the Council Chambers at City Hall, located at 25 West Main Street, in downtown Auburn about the proposed 2013 Annual Action Plan for Community Development Block Grant (CDBG) projects.

The 2013 Annual Action Plan outlines proposed expenditures to implement the City's efforts related to its CDBG projects. It identifies federal and local funds expected to be available, indicates the activities on which they will be spent, and sets goals for the number and type of services expected to be provided.

The preparation of an annual action plan is required by the U.S. Department of Housing and Urban Development (HUD) in order for the City to receive federal funds under the Community Development Block Grant (CDBG) program.

The City of Auburn anticipates that in 2013 approximately \$450,000 of CDBG funds will be available for projects that are consistent with federal regulations and the objectives and policies of the Auburn Consolidated Housing and Community Development Plan.

NOTICE IS HEREBY GIVEN that the City of Auburn's 2013 Annual Action Plan is available for a 30-day public review and comment period.

Oral comments may be offered at the public hearing on October 15, 2012. Written comments regarding the 2013 Annual Action Plan may be submitted to:

Michael Hursh, Community Services Manager
25 W Main Street
Auburn, WA 98001

The deadline for the consideration of written comments is October 30, 2012. Written comments and comments from the public hearing will be addressed in the final draft of the Annual Action Plan. The City Council is tentatively scheduled to adopt the 2013 Annual Action Plan at their regular meeting on November 5, 2012.

Copies of the Plan are available at the City of Auburn's Community Services counter, located on the first floor of Auburn's City Hall, 25 West Main Street, in downtown Auburn between the hours of 8:00 a.m. and 5:00 p.m.

Written requests for a copy of the 2013 Annual Action Plan may be mailed to the Department of Administration, 25 West Main Street, Auburn, WA 98001. A copy of the Plan will be provided through the mail within five (5) working days upon request.

This notice is available in alternate formats for individuals with disabilities upon request. The Auburn City Hall is wheelchair accessible. Reasonable accommodations at the public hearing such as sign language interpretation or alternate formats for printed material are available for individuals with disabilities with a minimum of 7 days advance notice.

For more information or to request a copy of the Plan, please contact Michael Hursh, Community Services Manager at 253-804-5029 or email mhursh@auburnwa.gov.

Attachment #3

Comments from the Public Hearing on October 15, 2012

(None were received)

Attachment #4

Written comments provided during the Public Review period

(None were received)

Attachment #5

Resolution adopted by the Auburn City Council

RESOLUTION NO. 4863

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF AUBURN, WASHINGTON, ADOPTING THE 2013 COMMUNITY DEVELOPMENT BLOCK GRANT ACTION PLAN FOR THE CONSOLIDATED PLAN FOR YEARS 2010 TO 2014

WHEREAS, the City of Auburn was designated as an entitlement community by the U.S. Department of Housing and Urban Development (HUD) for its Community Development Block Grant (CDBG) Program;

WHEREAS, the primary objective of the Consolidated Plan and CDBG Program is the development of viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low- and moderate-income;

WHEREAS, to be eligible for funding, the City of Auburn must annually update the action plan for its Consolidated Plan that serves as a federally required planning document to guide the City of Auburn's human service and community development efforts;

WHEREAS, the planning process to develop the Consolidated Plan involved citizen participation and guidance from non-profit and governmental agencies serving low income residents in the community;

WHEREAS, the City Council of the City of Auburn heard and considered public comment about its proposed 2013 Action Plan.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF AUBURN, WASHINGTON, DOES HEREBY RESOLVE TO:

Section 1. Pursuant to provisions of 24CFR91, the City hereby adopts the 2013 Action Plan for the Consolidated Plan for Years 2010 to 2014.

Section 2. The Mayor is hereby authorized to implement such administrative procedures as may be necessary to carry out directions of the legislation.

Section 3. This Resolution shall be in full force and effect upon passage and signatures hereon.

DATED and SIGNED this _____ day of November, 2012.

Peter B. Lewis
Mayor

ATTEST:

Danielle Daskam, City Clerk

APPROVED AS TO FORM:

Daniel B. Heid, City Attorney

Attachment #6

Certifications

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED 11-15-2012	3. DATE RECEIVED BY STATE	Applicant Identifier BG13
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	4. DATE RECEIVED BY FEDERAL AGENCY		State Application Identifier
5. APPLICANT INFORMATION				
Legal Name: City of Auburn		Organizational Unit: Department: Administration		
Organizational DUNS: 032942575		Division: Community Services		
Address: Street: 25 West Main Street		Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: Auburn		Prefix:	First Name: Michael	
County: King		Middle Name		
State: WA		Last Name Hursh		
Zip Code 98001	Suffix:			
Country: USA		Email: mhursh@auburnwa.gov		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 91-6001228		Phone Number (give area code) 253-804-5029	Fax Number (give area code) 253-288-3132	
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>		7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Community Development Block Grant 14-218		9. NAME OF FEDERAL AGENCY:		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Auburn, King & Pierce Counties, State of WA		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Community Development Block Grant entitlement		
13. PROPOSED PROJECT Start Date: 1-1-13		Ending Date: 12-31-13	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 8 & 9	
15. ESTIMATED FUNDING:		b. Project 8 & 9		
a. Federal	\$	450,000	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
b. Applicant	\$.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
c. State	\$.00	DATE:	
d. Local	\$.00	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
e. Other	\$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
f. Program Income	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
g. TOTAL	\$	450,000	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.				
a. Authorized Representative				
Prefix	First Name Peter		Middle Name B.	
Last Name Lewis	Suffix			
b. Title Mayor	c. Telephone Number (give area code) 253-931-3041			
d. Signature of Authorized Representative	e. Date Signed 11-14-12			

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

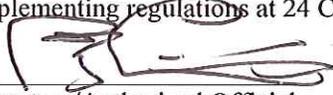
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

11-14-12
Date

MAYOR
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (2013), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

11-14-12

Date

MAYOR

Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

25 WEST MAIN ST
AUBURN, VA 99001

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).